

पावर ग्रिड कार्पोरेशन आफ इंडिया लिमिटेड

(भारत सरकार का उद्यम)

POWER GRID CORPORATION OF INDIA LIMITED

(A Government of India Enterprise)



केन्द्रीय कार्यालय: "सौदामिनी" प्लॉट सं. 2, सेक्टर-29, गुडगाँव-122 001, (हरियाणा) दूरभाष: 0124-2571700-719, फैक्स : 0124-2571  
"Saudaminii" Plot No. 2, Sector-29, Gurgaon-122 001, (Haryana) Tel. : 0124-2571700-719, Fax : 0124-2571782, Web.: www.powergridindia.com

CIN : L40101DL1989GOI0381

C/COS/Listing

Dt: 13.10.2017

The GM (Listing)  
National Stock Exchange of India Ltd.,  
Exchange Plaza, Plot No. C/1, G Block,  
Bandra Kurla Complex, Bandra (East)  
Mumbai

Ref: Symbol: POWERGRID; Series: EQ; ISIN: INE752E01010

Dear Sir,

Sub: **Quarterly Compliance.**

Enclosed please find the following reports in compliance with the SEBI (Listing Obligations and Disclosures) Regulations, 2015:

- A) Quarterly Compliance Reports on Corporate Governance for Quarter ended 30.09.2017, [Reg. 27(2) (a)]
- B) Shareholding Pattern for the Quarter ended 30.09.2017, [Reg. 31 (1) (b)]
- C) Reconciliation of Share Capital Audit Report for the Quarter ended 30.09.2017, and
- D) A statement giving the number of investor complaints pending at the beginning of the quarter, those received during the quarter, disposed of during the quarter and those remaining unresolved at the end of the quarter for the quarter ended 30.09.2017. [Reg. 13 (3)]
- E) Certificate under Regulation 40(9) and
- F) Certificate under Regulation 7 (3)

Thanking You,

Yours faithfully,

  
(Divya Tandon)

General Manager - Company Secretary &  
Compliance Officer

Encl: a/a

पंजीकृत कार्यालय: बी-9, कुतब इन्स्टीट्यूशनल एरिया, कटवारिया सराय, नई दिल्ली-110016 दूरभाष: 011-26560112, 26560121, 26564812, 26564892, फैक्स: 011-26601  
Regd. Office: B-9, Qutab Institutional Area, Katwaria Sarai, New Delhi-110016 Tel.: 011-26560112, 26560121, 26564812, 26564892; Fax: 011-26601081, Web.: www.powergridindia.com

स्वहित एवं राष्ट्रहित में ऊर्जा बचाएं  
Save Energy for Benefit of Self and Nation

I. Composition of Board of Directors									
Title (Mr./Ms.)	Name of the Director	PAN <sup>§</sup> & DIN		Category (Chairperson/Executive/ Non-Executive/Independent/ Nominee) & Nominee)	Date of Appointment in the current term /cessation	Tenure*	No of Directorship in listed entities including this listed entity  (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity  (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity  (Refer Regulation 26(1) of Listing Regulations)
		PAN	DIN						
Mr.	I. S. Jha	ADKPJ7443F	00015615	Chairperson/Executive	10/11/2015	-	1	NIL	NIL
Mr.	K. Sreekant	AAFPK3748Q	06615674	Executive	1/9/2016	-	1	1	NIL
Mr.	Ravi P. Singh	AEZPS1659G	05240974	Executive	1/4/2012	-	2	2	NIL
Mr.	R. P. Sasmal	AAAPS0228E	02319702	Executive	1/8/2012	-	1	2	NIL
Mr.	Prabhakar Singh	AEFPS8930L	01391766	Executive	8/2/2017	-	1	NIL	NIL
Mr.	Dr. Pradeep Kumar	AFIPK0993F	05125269	Non-Executive/Nominee <sup>^</sup>	31/07/2017#	-	2	3	NIL
Ms.	Jyoti Arora	AALPA6087E	00353071	Non-Executive/Nominee <sup>^</sup>	5/7/2017#	-	2	NIL	NIL
Ms.	Shalini Prasad	ADFP5125M	07908489	Non-Executive/Nominee <sup>^</sup>	30/08/2017#	-	1	NIL	NIL
Ms.	Bharati	AJLPB3351R	07925607	Non-Executive/Nominee <sup>^</sup>	31/08/2017	-	1	NIL	NIL
Mr.	Jagdish Ishwarbhai Patel	ABRPP5481G	02291361	Independent	17/11/2015	1 year & 10.5 Months	1	2	2
Mr.	Tse Ten Dorji	ATHPD1349M	03469466	Independent	16/02/2017	7.5 Months	1	1	NIL
Mr.	Manoj Kumar Mittal	ACNPM3218P	07937052	Independent	12/9/2017	0.5 Months	1	NIL	NIL

<sup>^</sup> Nominated by Government of India

<sup>§</sup> PAN number of any director would not be displayed on the website of Stock Exchange

<sup>\*</sup> Category of directors means executive/non-executive/Independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

<sup>\*</sup> to be filled only for Independent Director. Tenure would mean total period from which Independent Director is serving on Board of directors of the listed entity in continuity without any cooling off period.

<sup>#</sup> Date of Cessation.

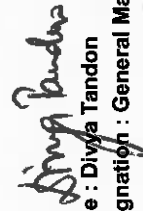
<b>II. Composition of Committees</b>		
<b>Name of Committee</b>	<b>Name of Committee members</b>	<b>Category (Chairperson/Executive/Non-Executive/Independent/Nominee) §</b>
1. Audit Committee	Mr. Jagdish I. Patel Mr. Tse Ten Dorji Mr. R. P. Sasmal	Independent (Chairperson) Independent Executive
2. Stakeholders Relationship Committee	Mr. Jagdish I. Patel Mr. K. Sreekant Mr. Ravi P. Singh	Independent (Chairperson) Executive Executive
3. Nomination and Remuneration Committee	Mr. Jagdish I. Patel Mr. Tse Ten Dorji Ms. Bharati Mr. I. S. Jha	Independent (Chairperson) Independent Non-Executive Executive
4. Risk Management Committee	Mr. R. P. Sasmal Mr. K. Sreekant Mr. Ravi P. Singh	Executive (Chairperson) Executive Executive

§ Category of directors means executive/non-executive/Independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors			
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)	
11-May-17	-	-	
29-May-17	-	17	
29-Jun-17	-	30	
-	01-Aug-17	32	
-	16-Aug-17	14	
-	19-Sep-17	33	
IV. Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
<b>Audit Committee</b>			
01-Aug-17	Mr. Jagdish I. Patel - Present Dr. Pradeep Kumar - Present Mr. R. P. Sasmal - Present	11-May-17	32
19-Sep-17	Mr. Jagdish I. Patel - Present Dr. Pradeep Kumar - Present Mr. R. P. Sasmal - Present	29-May-17	48
-	Mr. Jagdish I. Patel - Present Dr. Pradeep Kumar - Present Mr. R. P. Sasmal - Present	29-Jun-17	-
<b>Stakeholders Relationship Committee</b>			
-	NIL	-	-
<b>Nomination and Remuneration Committee</b>			
19-Sep-17	Mr. Jagdish I. Patel - Present Mr. Tse Ten Dorj - Present Ms. Bharati - Present Mr. I. S. Jha - Present	-	-

<b>Risk Management Committee</b>			
14-Jul-17	Mr. R. P. Sasmal - Present Mr. Ravi P. Singh - Absent Mr. K. Sreekant - Present	29-Jun-17	14
19-Sep-17	Mr. R. P. Sasmal - Present Mr. Ravi P. Singh - Present Mr. K. Sreekant - Present		66
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional			
<b>V. Related Party Transactions</b>			
<b>Subject</b>			<b>Compliance status (Yes/No/NA)</b> refer note below
Whether prior approval of audit committee obtained			Yes
Whether shareholder approval obtained for material RPT			NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee			Yes
<b>Note</b>			
1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/NA.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.			
2 If status is "No" details of non-compliance may be given here.			

VI. Affirmations	
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	NO - As on 30.09.2017, POWERGRID had 5 Executive Directors (including Chairperson), 1 Non-Executive Director and 3 Independent Directors. The composition also includes a Woman Director.  POWERGRID, being a Govt. Company within the meaning of Section 2 (45) of the Companies Act, 2013, the power to appoint functional/ Official Part-time Directors / non-Official Part-time Directors (Independent Directors) vests with the President of India.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 :	
a. Audit Committee	Yes
b. Nomination & remuneration committee	Yes
c. Stakeholders relationship committee	Yes
d. Risk management committee (applicable to the top 100 listed entities)	Yes
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:	The report submitted in the previous quarter was placed before Board of Directors in its meeting held on 01.08.2017. This report will be submitted to the Board in the forthcoming meeting.




Name : Divya Tandon

Designation : General Manager - Company Secretary & Compliance Officer

**DIVYA TANDON**  
GM - Company Secretary  
POWERGRID

**Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year**

<b>I Affirmations</b>		
<b>Broad heading</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA) refer note below</b>
<i>Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website</i>	46(2)	YES
<i>Presence of Chairperson of Audit Committee at the Annual General Meeting</i>	18(1)(d)	YES
<i>Presence of Chairperson of the nomination and remuneration committee at the annual general meeting</i>	19(3)	YES
<i>Whether "Corporate Governance Report" disclosed in Annual Report</i>	<i>34(3) read with Para C of Schedule V</i>	YES
<p><b>Note</b></p> <p>1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.</p> <p>2 If status is "No" details of non-compliance may be given here.</p> <p>3 If the Listed Entity would like to provide any other information the same may be indicated here.</p>		

  
**Name** : Divya Tandon  
**Designation** : General Manager - Company Secretary & Compliance Officer  
 DIVYA TANDON  
 GM - Company Secretary  
 POWERGRID